



Docket No. 0444-4069

Express Mail No. EJ 607 474 965US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Zhiqiang Alex He

Group Art Unit: 1755

Serial No.: 09/804,214

Examiner: TBA

Filed: March 12, 2001

For: Novel Acid Functional Polymer Dispersants

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached ☐ were filed in related application U.S. Serial No(s) _____, filed _____, respectively.

- ☒ This document is being filed within three (3) months of the filing date of the application
- ☐ Please charge the \$180 fee to Deposit Account No. 13-4500, Order No. _____.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☐ This document is being filed prior to a first Office Action
- ☐ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 0444-4069. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: June 12, 2001

By: _____

Maria C.H. Lin

Registration No. 29,323

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



Docket No. 0444-4069
Express Mail No. EJ 607 474 965US

Applicant(s) : Zhiqiang Alex He

Serial No. : 09/804,214

Group Art Unit: 1755

Filed : March 12, 2001

Examiner: To Be Assigned

For : NOVEL ACID FUNCTIONAL POLYMER DISPERSANTS

CITED REFERENCES
INFORMATION DISCLOSURE STATEMENT

	<u>Patent No.</u>	<u>Name</u>	<u>Issue Date</u>
1.	4,224,212	Topham	9/23/80
2.	4,398,955	Stansfield et al.	8/16/83
3.	4,496,686	Ansel	1/29/85
4.	4,415,705	Hutter	11/15/83
5.	4,645,611	Campbell et al.	2/24/87
6.	4,673,705	Ansel et al.	6/16/87
7.	4,754,056	Ansel et al.	6/28/88
8.	4,861,380	Campbell et al.	8/29/89
9.	4,942,213	Haubennestel et al.	7/17/90
10.	5,151,218	Haubennestel et al.	9/29/92
11.	5,424,364	Simms et al.	6/13/95
12.	5,700,395	Thetford et al.	12/23/97
13.	6,037,414	Simms et al.	3/14/00
14.	6,111,054	Haubennestel et al.	8/29/00
15.	Polymer Exemption Guidance Manual, www.epa.gov/opptintr/newchems/polyguid.htm , 5/22/97		
16.	Henry Jakybauskas, <u>J. of Coatings Technology</u> , 1986, 58:736, pp. 71-82		
17.	Tatsuo Sato, <u>J. of Coatings Technology</u> , 1993, 65:825, pp. 113-121		
18.	John Schofield, <u>Handbook of Coatings Additives</u> , 1992, vol. 2, pp. 71-105		
19.	Koichi Tsutsui, <u>J. of Coatings Technology</u> , 1990, 62:791, pp. 27-35		